



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

| Title of Invention | COMPOUNDS FOR INTRACELLULAR DELIVERY OF THERAPEUTIC MOIETIES TO NERVE CELLS | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| <p>Application Number: 09/707730</p> <p>Confirmation Number: 8812</p> <p>First Named Applicant: Craig Hill</p> <p>Attorney Docket Number: ASIL-002CIP</p> <p>Art Unit: 1647</p> <p>Examiner: Robert S. Landsman</p> <p>Search string: (6472179 or 6410510 or 6077829 or 5827823 or 5648334 or 5599506 or 5622862 or 5556837 or 5512661 or 5438121 or 5349056 or 6472178 or 5846935 or 5229500).pn.</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>RECEIVED AUG 15 2003 TECH CENTER 1600/2900</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <h3>US Patent Documents</h3> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>6472179</td><td>2002-10-29</td><td>Stahl, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>6410510</td><td>2002-06-25</td><td>Panayotatos, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>6077829</td><td>2000-06-20</td><td>Tanaka, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>5827823</td><td>1998-10-27</td><td>Siuciak, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>5648334</td><td>1997-07-15</td><td>Davis, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>5599506</td><td>1997-02-04</td><td>Siuciak</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>5622862</td><td>1997-04-22</td><td>Squinto, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>5556837</td><td>1996-09-17</td><td>Nestler, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>5512661</td><td>1996-04-30</td><td>Shooter, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>5438121</td><td>1995-08-01</td><td>Barde, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>5349056</td><td>1994-09-20</td><td>Panayotatos</td><td></td><td></td><td></td></tr><tr><td></td><td>12</td><td>6472178</td><td>2002-10-29</td><td>Fandl, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>13</td><td>5846935</td><td>1998-12-08</td><td>Panayotatos</td><td></td><td></td><td></td></tr><tr><td></td><td>14</td><td>5229500</td><td>1993-07-20</td><td>Barde, et al.</td><td></td><td></td><td></td></tr></tbody></table> | | init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | 1 | 6472179 | 2002-10-29 | Stahl, et al. | | | | | 2 | 6410510 | 2002-06-25 | Panayotatos, et al. | | | | | 3 | 6077829 | 2000-06-20 | Tanaka, et al. | | | | | 4 | 5827823 | 1998-10-27 | Siuciak, et al. | | | | | 5 | 5648334 | 1997-07-15 | Davis, et al. | | | | | 6 | 5599506 | 1997-02-04 | Siuciak | | | | | 7 | 5622862 | 1997-04-22 | Squinto, et al. | | | | | 8 | 5556837 | 1996-09-17 | Nestler, et al. | | | | | 9 | 5512661 | 1996-04-30 | Shooter, et al. | | | | | 10 | 5438121 | 1995-08-01 | Barde, et al. | | | | | 11 | 5349056 | 1994-09-20 | Panayotatos | | | | | 12 | 6472178 | 2002-10-29 | Fandl, et al. | | | | | 13 | 5846935 | 1998-12-08 | Panayotatos | | | | | 14 | 5229500 | 1993-07-20 | Barde, et al. | | | |
| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 2 | 6410510 | 2002-06-25 | Panayotatos, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 3 | 6077829 | 2000-06-20 | Tanaka, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 5 | 5648334 | 1997-07-15 | Davis, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 6 | 5599506 | 1997-02-04 | Siuciak | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 9 | 5512661 | 1996-04-30 | Shooter, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 10 | 5438121 | 1995-08-01 | Barde, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
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| | 12 | 6472178 | 2002-10-29 | Fandl, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 13 | 5846935 | 1998-12-08 | Panayotatos | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| | 14 | 5229500 | 1993-07-20 | Barde, et al. | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <p>Signature</p> | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |



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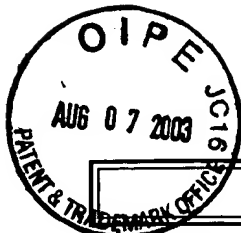
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Application ID: 09707730
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THERAPEUTIC MOIETIES TO
NERVE CELLS
First Named Inventor: Craig Hill
Domestic/Foreign Application: Domestic Application
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Attorney Docket Number: ASIL-002CIP
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Deposit Account Number: 500815
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| Title of Invention | COMPOUNDS FOR INTRACELLULAR DELIVERY OF THERAPEUTIC MOIETIES TO NERVE CELLS | | | | | | | | | | | | | | | | | | | | |
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| <p>Application Number: 09/707730 Date: 2000-11-06 First Named Applicant: Craig Hill Confirmation Number: 8812 Attorney Docket Number: ASIL-002CIP</p> <p>RECEIVED AUG 15 2003 TECH CENTER 1600/2900</p> <p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p> <table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Carol L. Francis Registered Number: 36,513</td><td>Carol L. Francis</td><td>Attorney</td></tr></tbody></table> <table><tr><td>Documents being submitted</td><td>Files</td></tr><tr><td>us-fee-sheet</td><td>EfileIDS-usfees.xml</td></tr><tr><td></td><td>us-fee-sheet.xml</td></tr><tr><td></td><td>us-fee-sheet.dtd</td></tr><tr><td>us-ids</td><td>EfileIDS-usidst.xml</td></tr><tr><td></td><td>us-ids.dtd</td></tr><tr><td></td><td>us-ids.xml</td></tr></table> <p>Comments</p> | | Submitted by: | Elec. Sign. | Sign. Capacity | Carol L. Francis Registered Number: 36,513 | Carol L. Francis | Attorney | Documents being submitted | Files | us-fee-sheet | EfileIDS-usfees.xml | | us-fee-sheet.xml | | us-fee-sheet.dtd | us-ids | EfileIDS-usidst.xml | | us-ids.dtd | | us-ids.xml |
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| Carol L. Francis Registered Number: 36,513 | Carol L. Francis | Attorney | | | | | | | | | | | | | | | | | | | |
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